

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant(s): Biebel et al.  
Serial No.: 10/561,598  
For: ORGANIC LIGHT-EMITTING DEVICE  
Filing Date: December 20, 2005  
Examiner: Not Yet Assigned  
Art Unit: 2879  
Confirmation No.: 6445  
Customer No.: 27,623 Attorney Docket No.: 2133.123USU

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Copy of the Notification of Defective Response;
2. PTO stamped receipt;
3. Copy of Declaration and Power of Attorney filed March 27, 2007;
4. Copy of check number 20929 enclosed as payment with Missing Parts filed on March 27, 2007; and
5. Transmittal letter.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/561,598	Alexander Biebel	2133.123USU

INTERNATIONAL APPLICATION NO.
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PCT/EP04/06470

I.A. FILING DATE	PRIORITY DATE
06/16/2004	06/20/2003

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
 ONE LANDMARK SQUARE, 10TH FLOOR  
 STAMFORD, CT 06901

CONFIRMATION NO. 6445

371 FORMALITIES LETTER



\*OC000000025496184\*

Date Mailed: 08/22/2007

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 12/20/2005
- Copy of the International Search Report filed on 12/20/2005
- Copy of IPE Report filed on 12/20/2005
- Copy of Annexes to the IPEA filed on 12/20/2005
- Preliminary Amendments filed on 12/20/2005
- Oath or Declaration filed on 03/27/2007
- U.S. Basic National Fees filed on 12/20/2005
- Substitute Specification filed on 12/20/2005

**RECEIVED**  
 AUG 27 2007  
 OHLANDT, GREELEY,  
 RUGGIERO & PERLE, LLP

Applicant's response filed 03/27/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/01/2007 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

Additionally the following defects have been observed:

- The oath of declaration does not comply with 37 CFR 1.63 in that it:
  - does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/561,598	PCT/EP04/06470	2133.123USU

IAP12 Rec'd PCT/PTO 27 MAR 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Biebel et al.

Serial No.: 10/561,598

For: ORGANIC LIGHT-EMITTING DEVICE

International  
Filing Date: June 16, 2004

US Filing Date: December 20, 2005

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Confirmation No.: 6445

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Attorney Docket No.: 2133.123USU

**Mail Stop PCT**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**  
**Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND**  
**PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

☒ Declaration and Power of Attorney form executed by Alexander BIEBEL, Georg SPARSCHUH, Kai BRANDES and Clemens OTTERMANN;

☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;

03/29/2007 LLANDGRA 00000026 10561598

01 FC:1617 ☐ ~~130.00~~ ☐ Sheets of Formal Drawings;

☒ An Assignment of the Invention to: **SCHOTT AG** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;

☐ Information Disclosure Statement (Form - 1449);

☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;

☐ Preliminary Amendment; and